

Date Printed: 29-Apr-20

Last Date Votes were Submitted for the Meeting: 29-Apr-20

Total Number of Ballots Instructed: 1

Total Number of Shares Instructed: 3,709

Total Number of Ballots Uninstructed: 0

Total Number of Shares Uninstructed: 0

Eli Lilly and Company

LLY

Primary CUSIP: 532457108	Primary ISIN: US5324571083	Primary SEDOL: 2516152
Country: USA	Country of Operation: USA	ISS Country of Coverage: USA
Industry Sector: Pharmaceuticals	Market Cap (USD): 155,930,200,000.00	ISS Governance QualityScore: 9
Meeting Date: 04-May-20	Record Date: 09-Mar-20	Meeting Type: Annual
Meeting ID: 1409998	Percentage Votable Shares: 0.00039	Workflow Tag:

Meeting Alerts

Date Received

Please note that the agenda has been amended. Users who voted prior to the change will need to re-vote.	09-Apr-20
Please be informed this meeting was regressed to change the code of item # 9 from S0227 Adopt a Policy on Board Diversity to S0913 - Disclose Board Matrix Including Ideological Perspectives.	09-Apr-20
Please note the agenda has been amended. Users who voted prior to the change will need to re-vote.	08-Apr-20
Please be informed this meeting was regressed to change the proposal text for item # 12 from Adopt Clawback Policy to Clawback Disclosure of Recoupment Activity from Senior Officers	08-Apr-20
Please note that the company has decided to hold their upcoming shareholder meeting via webcast due to COVID-19 recent government restrictions. The Virtual meeting link provided is www.virtualshareholdermeeting.com/LLY2020	07-Apr-20
Please be informed this meeting was regressed to change the code of item # 11 from S0504 Implement a Bonus Deferral Policy to S0528 Adopt Policy on Bonus Banking.	31-Mar-20

Location ID: 11921 **Location Name:** GPD - TRECENTO AM

****Earliest Cutoff Date:** 30-Apr-20

Total Ballots: 1 **Votable Shares:** 3,709 ***Shares on Loan:** 0 **Shares Instructed:** 3,709

Item #	Item Description	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Michael L. Eskew	Management	For	Against
1b	Elect Director William G. Kaelin, Jr.	Management	For	For
1c	Elect Director David A. Ricks	Management	For	For
1d	Elect Director Marschall S. Runge	Management	For	For
1e	Elect Director Karen Walker	Management	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Management	For	For
3	Ratify Ernst & Young LLP as Auditors	Management	For	For
4	Declassify the Board of Directors	Management	For	For
5	Eliminate Supermajority Voting Provisions	Management	For	For
6	Report on Lobbying Payments and Policy	Shareholder	Against	Abstain
7	Report on Forced Swim Test	Shareholder	Against	For
8	Require Independent Board Chairman	Shareholder	Against	For
9	Disclose Board Matrix Including Ideological Perspectives	Shareholder	Against	Abstain
10	Report on Integrating Drug Pricing Risks into Senior Executive Compensation Arrangements	Shareholder	Against	Abstain
11	Adopt Policy on Bonus Banking	Shareholder	Against	For
12	Clawback Disclosure of Recoupment Activity from Senior Officers	Shareholder	Against	For

Institutional Account (name, number)	Custodian Account Number	Account Group	Ballot ID	Control Number	Ballot Ingestion Date	Share-blocking	Ballot Cutoff Date	Ballot Status	Instructed	Approved	Votable Shares	Shares Instructed
Default Inst. Account [11921], 000000000	05000360350	Default Account group [11921]	111081365	600110872652	22-Mar-20	No	30-Apr-20	Approved	cpouchoy 29-Apr-20	cpouchoy 29-Apr-20	3,709	3,709
Total Shares:											3,709	3,709

*Shares on Loan data is only provided for a select group of Custodians. Please contact your Client Service Team with any questions.

**The Earliest Cutoff Date refers to the earliest voting cutoff for clients who hold positions across multiple Custodians and is provided for informational purposes only. For ballot-specific deadlines or early deadline information, please refer to the individual ballot details when voting within ProxyExchange.