

Date Printed: 01-Mar-23

Last Date Votes were Submitted for the Meeting: 01-Mar-23

Total Number of Ballots Instructed: 1

Total Number of Shares Instructed: 7,326

Total Number of Ballots Uninstructed: 0

Total Number of Shares Uninstructed: 0

Novartis AG

NOVN

Primary CUSIP: H5820Q150

Primary ISIN: CH0012005267

Primary SEDOL: 7103065

Country: Switzerland

Country of Operation: Switzerland

ISS Country of Coverage: Switzerland

Industry Sector: Pharmaceuticals

Market Cap (USD): 206,468,500,000.00

ISS Governance QualityScore: 1

Meeting Date: 07-Mar-23

Record Date:

Meeting Type: Annual

Meeting ID: 1704810

Percentage Votable Shares: 0.00030

Workflow Tag:

Meeting Alerts

Date Received

02-Feb-23

Location ID: 11921

Location Name: GPD - TRECENTO AM

**Earliest Cutoff Date: 01-Mar-23

Total Ballots: 1

Votable Shares: 7,326

*Shares on Loan: 0

Shares Instructed: 7,326

Item #	Significant Vote	Item Description	Proponent	Mgmt Rec	Vote Instruction
1		Accept Financial Statements and Statutory Reports	Management	For	For
2		Approve Discharge of Board and Senior Management	Management	For	Abstain
3		Approve Allocation of Income and Dividends of CHF 3.20 per Share	Management	For	For
4		Approve CHF 63.1 Million Reduction in Share Capital via Cancellation of Repurchased Shares	Management	For	For
5		Authorize Repurchase of up to CHF 10 Billion in Issued Share Capital	Management	For	For
6.1		Amend Articles Re: Electronic Participation; Virtual-Only Shareholder Meetings	Management	For	For
6.2		Amend Articles of Association	Management	For	For
6.3		Amend Articles of Association	Management	For	For
7.1		Approve Remuneration of Directors in the Amount of CHF 8.8 Million	Management	For	For
7.2		Approve Maximum Remuneration of Executive Committee in the Amount of CHF 90 Million	Management	For	For
7.3		Approve Remuneration Report	Management	For	For
8.1		Reelect Joerg Reinhardt as Director and Board Chair	Management	For	For
8.2		Reelect Nancy Andrews as Director	Management	For	For
8.3		Reelect Ton Buechner as Director	Management	For	For
8.4		Reelect Patrice Bula as Director	Management	For	For
8.5		Reelect Elizabeth Doherty as Director	Management	For	For
8.6		Reelect Bridgette Heller as Director	Management	For	For
8.7		Reelect Daniel Hochstrasser as Director	Management	For	For
8.8		Reelect Frans van Houten as Director	Management	For	For
8.9		Reelect Simon Moroney as Director	Management	For	For
8.10		Reelect Ana de Pro Gonzalo as Director	Management	For	For
8.11		Reelect Charles Sawyers as Director	Management	For	For
8.12		Reelect William Winters as Director	Management	For	For
8.13		Elect John Young as Director	Management	For	For
9.1		Reappoint Patrice Bula as Member of the Compensation Committee	Management	For	For
9.2		Reappoint Bridgette Heller as Member of the Compensation Committee	Management	For	For
9.3		Reappoint Simon Moroney as Member of the Compensation Committee	Management	For	For
9.4		Reappoint William Winters as Member of the Compensation Committee	Management	For	For
10		Ratify KPMG AG as Auditors	Management	For	For
11		Designate Peter Zahn as Independent Proxy	Management	For	For
12		Transact Other Business (Voting)	Management	For	Abstain

*Shares on Loan data is only provided for a select group of Custodians. Please contact your Client Service Team with any questions.

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Workflow Tag:

Institutional Account (name, number)	Custodian Account Number	Account Group	Ballot ID	Control Number	Ballot Ingestion Date	Share- blocking	Ballot Cutoff Date	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Instructed
Default Inst. Account [11921], 0000000000	05000360350	Default Account group [11921]	156176983	400150294894	02-Feb-23	Yes	01-Mar-23	Approved	sngauv 01-Mar-23	sngauv 01-Mar-23		7,326	7,326
Total Shares:												7,326	7,326

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